



# HEALTH REFORM SUPPORT

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## **“DEVELOPMENT OF CONCEPT OF HCFs PUBLIC RATING AND ASSESSMENT OF EXISTING HCF SUPERVISORY BOARDS AND THEIR PRACTICES”**

**RFA # 17:**

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**April 2021**

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## I SUMMARY

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### I.1. USAID Health Reform Support

The purpose of USAID's Health Reform Support Project (HRS Project) is to support a transparent, accountable, and effective health care system that is capable of meeting the health needs of the Ukrainian people. Advancing health sector reforms, enhancing transparency, and tackling corruption will reduce out-of-pocket payments and improve access and availability of high quality, evidence-based health care services for Ukrainians. Elimination of corruption is a cross-cutting theme across all objectives to be achieved by this activity, which include:

1. Improve health sector governance.
2. Support the transformation of the healthcare financing model.
3. Strengthen the health workforce.
4. Enhance transparency, accountability and responsiveness of the health care system.
5. Improve service delivery system at all levels.

### I.2. Request for Applications (RFA) Summary

**Scope of Work:** This solicitation requests applications from eligible USAID Health Reform Support partners to conduct an activity named "Development of Concept of HCFs Public Rating and Assessment of Existing HCF Supervisory Boards and Their Practices".

The aim of this project is:

- to design a system of public rating for health care facilities which will help patients to make informed choice of doctors and facilities and therefor indirectly promote better quality of care
- to assess practices of Supervisory Boards such as their composition, frequency of meetings, interaction with HCFs administration and owners.

Applications should include a technical approach, with corresponding activities that will be undertaken to achieve the goals of the activities described in the detailed scope of work (SOW) specified in Section 3 of the RFA.

**Period of Performance:** The period of performance for the grants is approximately seven (6) months, from June 2021 to November 30, 2021. The application work plan and budget should reflect the period of performance.

**Proposal Selection:** All applications will be reviewed to check for eligibility and completeness of the submission. All eligible and complete applications will be reviewed by a Technical Evaluation Committee against the review criteria described in Section 6 Selection.

The minimum score to be considered for grant funding is 70 points out of the total 100 points. Applicants not selected for award will be notified by the project in writing.

**Funding Range:** Subject to the availability of funds, USAID Health Reform Support intends to award one (1) grant **up to** 1 950 000 UAH. Funding for this grant will be subject to donor approval and availability of funds. Funding will be disbursed to the grantee in Ukrainian local currency (UAH).

**Submission Deadlines:** All applications must be submitted no later than 23:59 Ukraine local time (UTC + 02:00) on May 19, 2021. Questions should be received by close of business (COB) Ukraine local time on May 13, 2021, and responses to questions will be provided by May 14, 2021.

The RFA has three Attachments that are relevant to the application development:

Attachment 1: Grant Application Form and Guidelines with attachments

Attachment 2: Budget Template

Attachment 3: Grant Applicants Handbook (including Grant Award template)

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## 2. INSTRUCTIONS FOR APPLICANTS

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### 2.1. General

- Entities invited to submit an application are under no obligation to do so.
- Applicants will not be reimbursed by USAID Health Reform Support Project for any costs incurred in connection with the preparation and submission of their applications.
- Applicants may submit only one application under RFA#17.
- For the purposes of interpretation of these instructions to applicants, the periods named herein shall be consecutive calendar days.
- USAID Health Reform Support Project reserves the right to conduct discussions once a successful application is identified, or to make an award without conducting discussions based solely on the written applications if it decides it is in its best interest to do so.
- USAID Health Reform Support Project reserves the right not to make any award.
- These instructions to applicants will not form part of the offer or grant award. They are intended solely to aid applicants in the preparation of their applications.

### 2.2. RFA Contact Information

USAID Health Reform Support Project Office

Attention: Olena Korduban

Address: 52A, B. Khmelnytskogo Str., 5th floor, 01030 Kyiv, Ukraine

Tel: +380 44 281 23 76

Email: [grant@hrs.net.ua](mailto:grant@hrs.net.ua)

### 2.3. Questions and Clarifications

- **Method:** Only written questions and requests for clarification will receive a response. Send questions about this RFA by email to the RFA Contact noted above.
- **Date for receipt:** All questions and requests for clarification must be received by close of business (COB) on May 13, 2021 to the email address noted above. Only questions received by this date will receive a response.
- **Responses:** By May 14, 2021, we anticipate providing responses to the requests for clarifications. All responses will be emailed to all applicants and published via Grants Portal (<https://usaid-hrs.fluxx.io>).
- An informational webinar will be held on **May 12, 2021 at 15:00 local time** to clarify grant procedures and grant objectives. Registration can be requested via e-mail at [grant@hrs.net.ua](mailto:grant@hrs.net.ua) by **11:00 local time, May 12, 2021**.

### 2.4. Applications Due Date and Time

Closing Date: May 19, 2021

Closing Time: 23:59 Ukraine local time (UTC + 02:00)

## 2.5. Application Delivery Address

The proposal package should be submitted through the Grants Portal (<https://usaid-hrs.fluxx.io>).

First time applicants will be required to register for an account and, upon approval, will receive an email notification with the necessary log-in credentials to access the portal.

The portal details submission instructions for completing a web-based application form and contains all required document and budget attachments to be included with the application.

All electronic file names should include the organization's name and the title of the document. Applicants should retain copies for their records, as all applications and attachments received will not be returned.

## 2.6. Type of Award

The USAID Health Reform Support anticipates the award of one (1) Fixed Amount Award in response to RFA#17 with the ceiling amount of up to 1 950 000 UAH.

## 2.7. Submission Requirements

- **Language:** The application and all associated correspondence must be in English. Any award document resulting from this request will be in English.
- **Currency:** The cost must be presented in UAH.
- **Method:** Electronic copy.
- **Marking:** USAID Health Reform Support Project, RFA # 17.
- **Authorized Signer:** Application must be signed by a person duly authorized to submit an application on behalf of the applicant and to bind the applicant to the application.
- **Authorized Personnel.** Provide name, title, email, and telephone number of the person or persons in the entity who are authorized to discuss and accept a grant, if awarded.

## 2.8. Eligibility

**To be eligible to apply** for and receive funding under the USAID Health Reform Support Project, potential applicants must meet the following criteria:

- Local non-government organizations, regional or national independent, for-profit or not-for-profit organizations, legally established in the country, professional organizations
- Have a DUNS number for grants over \$25,000. (Applicants are encouraged to apply on <http://fedgov.dnb.com/webform>)
- Have a SAM registration for grants over \$25,000. (applicants are encouraged to apply on <https://www.sam.gov/>).

**Eligible organization must demonstrate that:**

- It is an institution officially registered in Ukraine for not less than 3 years;
- It has experience working with stakeholders in the Ukrainian healthcare system and/ or stakeholders of educational system;
- It has experience developing and implementing post-graduate curriculum and/or training courses;
- It has experience adapting international curriculum and/or introducing new curriculum or post-graduate certificate programs;
- Its proposed trainer/s/ have received some prior exposure to or training in health administration (having received an international training would be considered an advantage);
- It has experience of organizing capacity building activities for civil servants at both national and regional level;

- It has capability and experience developing and implementing curricula and organizing training in the area of healthcare management.

**Ineligible are:**

- Individuals, political organizations, foreign owned organization and government institutions and religious groups

## 2.9. Application Conditions Precedent

All applications must be submitted in the specified format (see Section 4 Technical Application Contents). Any application submitted in any other format will not be considered. The applicant must also include all other supporting documentation (board resolution, articles of incorporation, etc.) as may be necessary to clearly demonstrate that it meets the following conditions precedent to application selection:

- That the applicant organization is an eligible organization legally constituted under Ukrainian law at least three (3) years;
- That the applicant organization has the managerial commitment, as evidenced by written board of directors, resolutions, strategic plans (overall long-range plan for applicant's organization) or other documentation, indicating that it is, or will be, implementing the objectives referred above;
- That the applicant organization has no advances from USAID or a USAID contractor which have been outstanding and unliquidated for longer than 90 days, and that the applicant organization has no grant completion report required under a grant from USAID or a USAID contractor which is more than 30 days past due;
- That at the time of application there exists no condition within the applicant organization or with respect to the applicant organization's management which renders the organization ineligible for a grant directly or indirectly funded by USAID.

## 2.10. Late Applications

Applicants are wholly responsible for ensuring that their applications are received in accordance with the instructions stated herein. A late application will not be eligible for consideration and will be rejected without selection, even if it was late as a result of circumstances beyond the applicant's control. A late application will be considered only if the sole cause of its becoming late was attributable to USAID Health Reform Support, Deloitte, its employees or agents.

## 2.11. Modification/Withdrawal of Applications

Any applicant has the right to withdraw, modify or correct its offer after such time as it has been delivered to USAID Health Reform Support provided that the request is made before the offer closing date.

## 2.12. Disposition of Applications

Applications submitted in response to this RFA will not be returned.



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## 3. STATEMENT OF WORK

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### 3.1. Background of Grant

Ukrainian health care system is undergoing a fundamental reform aimed to make it patient-centered and promote access, quality, and inclusion. Ukrainian health care facilities (HCFs) recently changed its legal status to become eligible to enter into contracts with National Health Service of Ukraine (NHSU). Among other this implied establishment of Supervisory Boards to serve as public watchdogs for effective use of taxpayer money allotted to health care. Patients are no longer tied to receive health services where they domicile and can freely choose doctors and facilities for treatments and consultations covered by the Program of Medical Guarantees (PMG).

However, after several years of reform there are no empirical evidences if the health system is developing the right direction. Patients' ability to freely chose doctors and facilities theoretically should lead to flight to quality, but at the same time patients lack unbiased information how to compare doctors and facilities. Established Supervisory Boards have not been actively promulgating their practices and mostly remained dormant. Enhancing patients' ability to navigate to better quality services and fostering good practices of corporate governance are the fundamental element of promoting better governance in Ukrainian health system.

Ukraine Health Reform Support Project (HRS) financed by USAID/UKaid and administered by Deloitte Consulting LLC is looking to design a system of public rating for health care facilities which will help patients to make informed choice of doctors and facilities and therefor indirectly promote better quality of care – more patients equals more money for the facility from NHSU. HRS is also interested in reinforcing its recently completed legal review of existing corporate governance framework with the real data describing prevailing practices of Supervisory Boards such as their composition, frequency of meetings, interaction with HCFs administration and owners.

The outcome of this grant will be used by HRS to identify its new activities and / or consider new interventions, including the ones to be supported by follow-on grants.

### 3.2. Grant Objective

This grant will contribute to the achievement of HRS Objective I – Strengthen the health system governance. The grant will support several activities under this objective by (1) designing the concept of public rating of health care facilities contracted by NHSU under the Program of Medical Guarantees (PMG), and (2) providing real information about the composition and functioning of existing Supervisory Boards established in communal non-profitable facilities.

### 3.3. Specific Statement of Work

The grantee is expected perform the following tasks to achieve grant objective:

- Upon consultations with HRS, NHSU, and other stakeholders prepare a concept of public rating of HCFs operating under the PMG. The concept must include the objective of public rating, eligible contributors for the rating (together with eligibility criteria), method of contributing rating evaluations, process of rating calculation (including the frequency of calculation), method of rating distribution, and any other relevant information that can be used for preparation of scope of work (SOW) for rating system creation; and
- Using the check list developed by HRS, conduct interviews with members of HCF Supervisory Boards (Heads, Secretaries, or regular members) and HCF Administrations (chief doctor or facility

administrator), summarize interview results in a report, and present the report to HRS and its identified stakeholders.

Alternative tasks in case of lockdown / quarantine:

- Concept of HCFs public rating – same as above; and
- Interviews with HCF Board Members and Administrators – same as above but using not-in-person methods of communication such as phone calls, emails, online meetings, and similar options.

### 3.4. Grant Activities, Deliverables and Expected Results

#### Deliverables

The Grantee shall use their existing network of experts, their experience, knowledge of national and international best practices, and additional understanding gleaned from the background and objectives specified above to complete and submit the below listed deliverables by the end of this grant. The deliverables should be submitted by the Grantee in Ukrainian (unless otherwise specified):

#### **Deliverable 1.** Develop Grant work plan and timeline.

Activity 1.1. Together with HRS experts, develop and finalize grant work plan.

Alternative approach in case of lockdown / quarantine  
Same as above

#### **Deliverable 2.** Develop the concept of public rating of health care facilities operating under PMG contracts with NHSU

Activity 2.1. Collect and present international practice of HCF public ratings assigned based on feedback or contribution from patients, both solicited and unsolicited, with substantiated suggestions how these practices can be applicable in Ukrainian context;

Activity 2.2. Prepare a concept note for Ukrainian model of public HCF rating with mandatory coverage of the following areas: eligible rating contributors and methods of contribution, rating calculation methodology, rating publication / release methodology, and frequency of rating update;

Activity 2.3. Present the HCF public rating concept note to HRS and its designated stakeholders.

Alternative approach in case of lockdown / quarantine  
Same as above

#### **Deliverable 3.** Assess existing corporate governance practices in HCFs contracted by NHSU under PMG

Activity 3.1. Identify for interviews at least 500 HCFs facilities based in HRS focus regions: Lviv, Zhytomyr, Odesa, Donetsk, Luhansk. Upon HRS request, obtain approval for conduction of study from the Ethics Commission.

NOTE: By 'facility' for the purpose of this activity HRS implies a single business entity and not any stand-alone affiliate / branch / department of another business entity.

Activity 3.2. Designate at least 10 interviewers from among grantee staff members or contracted experts to adhere to HRS orientation program focused on the purpose and methods of the interview

NOTE: HRS encourages grantee to designate more interviewers as some of them might not be approved for interviews and / or might become unavailable for interviews later. Only interviewers approved by HRS after the orientation meeting will be admitted conducting Activity 3.3. HRS will secure personal support letter from HRS and NHSU for each approved interviewer.

Activity 3.3. Conduct interviews with at least one representative of HCF Administration (chief doctor or facility administrator) and/or at least one member of the Supervisory Board (if established) in each facility selected under Activity 3.1.

NOTE: HRS might additionally ask Grantee to distribute special communication materials to the interviewees.

Activity 3.4. Summarize the interviews and prepare a report outlining the existing practices of corporate governance in PMG contracted facilities

Alternative approach in case of lockdown / quarantine  
 Activity 3.1. – expand coverage to other regions with the total number of selected facilities to approximate 500  
 Activity 3.2. – use remote format of orientation meeting  
 Activity 3.3. – use remote format of interview, promptly report HRS about each case of inability to reach to either a Board Member or an Administration representative, or both of them. Distribution of communication materials will be the responsibility of HRS.  
 Activity 3.4. – same as above

### 3.5. General Milestones and associated timelines

The below is the table format to describe milestones for development of concept of HCFs public rating and assessment of existing practices of Supervisory Boards in HCFs contracted by NHSU under PMG:

Milestone # and Name	Recommended Duration	Grant activity	Milestone Verification/Deliverables	Expected date of completion
1. Finalize of Grant work plan and timeline	1 week	<ul style="list-style-type: none"> <li>Review and agree Implementation plan</li> </ul>	Implementation Plan approved by HRS team (in Ukrainian and English)	TBD
2. Develop the concept of public rating of health care facilities operating under PMG contracts with NHSU	5 weeks	<ul style="list-style-type: none"> <li>Collect and present international practice of HCF public ratings assigned based on feedback or contribution from patients, both solicited and unsolicited, with substantiated suggestions how these practices can be applicable in Ukrainian context;</li> <li>Prepare a concept note for Ukrainian model of public HCF rating with mandatory coverage of the following areas: eligible rating contributors and methods of contribution, rating calculation methodology, rating publication / release methodology, and frequency of rating update;</li> <li>Present the HCF public rating concept note to HRS and its designated stakeholders.</li> </ul>	<ul style="list-style-type: none"> <li>- Approved synopsis of international practice of HCF public rating and suggestions how to apply or adapt them in Ukrainian context (<u>both in Ukrainian and English</u>);</li> <li>- Approved concept note for HCFs Public Rating, and supporting presentation materials (<u>both in Ukrainian and English</u>);</li> <li>- Presentation of the concept note to the stakeholders identified by HRS, either as a separate event or part of a bigger HRS event (<u>either in Ukrainian and English</u>)</li> </ul>	TBD
3. Assess existing corporate governance practices in HCFs contracted by	13 weeks	<ul style="list-style-type: none"> <li>Identify for interviews at least 500 HCFs facilities based in HRS focus regions: Lviv, Zhytomyr, Odesa, Donetsk, Luhansk. Obtain approval for conduction of study from the Ethics Commission;</li> </ul>	<ul style="list-style-type: none"> <li>- Approved list of at least 500 HCFs to be interviewed (with their contact details) and schedule for conducting interviews with HCF</li> </ul>	TBD

NHSU under PMG		<ul style="list-style-type: none"> <li>• Designate at least 10 interviewers from among grantee staff members or contracted experts to adhere to HRS orientation program focused on the purpose and methods of the interview;</li> <li>• Conduct interviews with at least one representative of HCF Administration (chief doctor or facility administrator) and/or at least one member of the Supervisory Board (if established) in each facility selected under Activity 3.1.;</li> <li>• Summarize the interviews and prepare a report outlining the existing practices of Supervisory Boards in PMG contracted facilities</li> </ul>	<p>Administration (chief doctor or facility administrator) and/or member of the Supervisory Board;</p> <ul style="list-style-type: none"> <li>- Ethics Commission Conclusion if requested;</li> <li>- Approved list of 10 eligible interviewers from among grantee staff members or contracted experts;</li> <li>- Approved date of HRS orientation program focused on the purpose and methods of the interview;</li> <li>- Internal decision on participation in HRS orientation program focused on the purpose and methods of the interview of grantee staff members and confirmation of participation from contracted experts;</li> <li>- List of representative of HCF Administration (chief doctor or facility administrator) and/or at member of the Supervisory Board that was interviewed (with their contact details)</li> <li>- All questionnaires completed within the interviews with representative of HCF Administration (chief doctor or facility administrator) and/or member of the Supervisory Board and records massive of interviews;</li> <li>- The survey results report of existing practices of Supervisory Boards in HCFs contracted by NHSU under PMG (both in Ukrainian and English).</li> <li>- Grant final report.</li> </ul>	
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### 3.6. Grant Project Expected Outcomes

- I. The concept note for Ukrainian model of public HCF rating with mandatory coverage of the following areas: eligible rating contributors and methods of contribution, rating calculation methodology, rating publication / release methodology, and frequency of rating update, and supporting presentation materials (both in Ukrainian and English);

2. PowerPoint presentation of the concept note for Ukrainian model of public HCF rating (either in Ukrainian and English);
3. Survey results report outlining the existing practices of Supervisory Boards in HCFs contracted by NHSU under PMG. A report should be provided both in Ukrainian and English;
4. Presentation of the Ukrainian version of the report outlining the existing practices of Supervisory Boards in HCFs to the HRS Team (either in Ukrainian and English);
5. Other materials as requested by the HRS team (lists of HCFs, questionnaires completed within the interviews, audio recording of the interviews etc.).

### 3.7. Additional conditions

The day-to-day activities of the grant recipient will be monitored by the USAID HRS representatives. Written communication between the selected grantee and HRS is confidential.

This research doesn't involve any clinical research or human subjects and collects information without any patient identification and the research team will not have access to identifiers or keys to link coded data (not even temporarily). All the interviews will be conducted previous consent form signed.

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## 4 TECHNICAL APPLICATION CONTENTS

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All complete applications received by the deadline will be reviewed for responsiveness to the specifications outlined in the guidelines. USAID Health Reform Support may reject applications that are:

- Incomplete;
- Do not respond to the scope of work in the solicitation;
- Do not comply with the format requirements; or
- Are submitted after the deadline.

The application in response to this solicitation should be organized as follows:

### A. Cover Page

Include all of the following information:

- Name, address, phone/fax number, and email of the organization
- Title of proposed project
- Name of contact person
- Duration of project
- Date submitted

### B. Applicant Data (see Grant Application Form and Guidelines)

### C. Technical Proposal (10 pages maximum)

Sections of the Attachment I Technical Proposal of the Grant Application Form and Guidelines should use the headings italicized below, in the following order:

#### 1. BACKGROUND/STATEMENT OF NEED *[maximum 0.5 page]*

- Briefly describe the context of the situation in which the grant will be implemented.
- Explain the need for the grant, using evidence and data to support your justification.

#### 2. GOALS, OBJECTIVES, AND GEOGRAPHIC FOCUS OF GRANT ACTIVITY *[maximum 1 page]*

- Indicate the performance targets and other results that will be reached over the life of the project. The organization must demonstrate its ability to effectively implement grant objectives (e.g. it is already working in that area or has strong relationships and can quickly expand to that area).
- Briefly describe the geographic focus of grant activity.

#### 3. EXPECTED RESULTS AND TECHNICAL STRATEGIES *[maximum 3,5 pages]*

- Describe instruments/tools the organization will use to improve HR function in health care facilities. Demonstrate that strategy is in line with the project goals.
- Demonstrate knowledge and experience of applying data quality assurance practices.
- Identify best practices and evidence base/rationale that have informed the project interventions.
- The organization must demonstrate its ability to effectively implement grant objectives (e.g. it is already working in that area or has strong relationships and can quickly expand to that area).

#### 4. IMPLEMENTATION PLAN AND MILESTONE DESCRIPTION *[maximum 2 pages]*

- Provide implementation plan for grant project as Annex A.

- Based on developed implementation plan, please, provide the list of grant activity milestones using the table below. Milestones are for a verifiable product, task, deliverable or goal of the applicant to be accomplished. For Fixed Amount Awards, budget is aligned to specific milestones and fund disbursement is made based on verification of milestone completion (see Section 5 for more details). Grant activities will be monitored and evaluated against these milestones.

#### **5. COORDINATION AND COLLABORATION** *[maximum 1 page]*

- Describe how the grant activity will be coordinated with local authorities, educational institutions (in necessary), regional departments of health, local administrations in selected regions, etc. Specifically, explain how the partners will be involved in making important decisions about the implementation of the grant and what roles they are expected to play
- Describe how the grant will be managed, including the staff positions that will implement the activity and the staff person responsible for managing the grant on a day-to-day basis
- Provide CVs for core/implementation team as an Annex B  
Indicate contacts who will liaise with the HRS Project.

#### **6. MANAGEMENT PLAN** *[maximum 1 page]*

- Describe how the grant will be managed, including the staff positions that will implement the activity and the staff person responsible for managing the grant on a day-to-day basis.
- Provide CVs for core/implementation team as an annex B.
- Indicate contacts who will liaise with the HRS Project.

#### **7. ORGANIZATIONAL CAPACITY** *[maximum 2 pages]*

- Provide brief information on up to three (preferably similar) actions/projects managed by your organization for which your organization has received assistance awards or contracts over the past three years as Annex C - see INFORMATION ON PREVIOUS ASSISTANCE AWARDS/CONTRACTS template.
- Describe experience and expertise based on Applicant's past performance and achievements, including collaboration with national and international stakeholders.
- Explain Applicant's experience and achievements in implementing similar projects, including cooperation with national and international stakeholders.
- Describe systems that exist or will be put in place to enable the organization to effectively manage the project. Include an organogram and a table of positions and responsibilities (as an Annex).

#### **Annexes (number of pages not limited)**

Annex A – Grant activity implementation plan

Annex B – CVs of project manager and key personnel and/or faculty (max 2 pages)

Annex C – Information on previous assistance awards/contracts

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## 5 BUDGET CONTENTS

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### 5.1. BUDGET AND PAYMENT TERMS

The approximate budget for the grantee amounts shall not exceed 1 950 000 UAH. The budget items and associated payment terms and dates according to the proposed milestones list will be finally defined based on the applicant's proposal and fixed in the grant award document.

### 5.2. COST SHARE

To be eligible for a grant award, the applicants are expected to cost share the implementation of the proposed grant activity by a minimum of 10% of the total cost of the grant activity. Cost sharing is a requirement for all grants funded under the project.

Cost share contribution may be financial or in-kind and include any of the following:

- Labor to carry out grant activities;
- Payment of non-labor costs associated with grant activities;
- Leveraged funds from other sources (non-U.S. Government);
- Equipment and facilities;
- In-kind donations (including labor, volunteer labor, office space, conference space, etc.).

All costs shared by the applicant (both financial and in-kind) must meet all of the following criteria:

- Be verifiable in the Applicant records;
- Necessary and reasonable for proper and efficient accomplishment of grant activity objectives;
- Allowable under the applicable USAID regulations
- Must not be included as cost share contributions for any other U.S. Government–assisted program; and,
- Must not be paid by the U.S. Government under another grant or agreement.

Sub-awards will not be allowed under the Grants Program.

### 5.3. BUDGET CONTENT

The Applicant must:

- Include a detailed and realistic budget using the Excel template provided (see Attachment 2: Budget and Budget Notes ). The budgets must be prepared in local currency (UAH) and should be based on activities described in the Attachment 1: Technical Proposal. Budget should not include costs that cannot be directly attributed to the activities proposed.
- Include detailed budget notes/clarification of calculation for each budget line item by milestones following the format of the template provided (see Attachment 2: Budget and Budget Notes). Supporting documentation to support cost data will be required prior to award of grants. However, these documents will not be required at the time of application submission.
- **Grant award funds can't be used for:**
  - Construction works
  - Major/small repairs
  - Other items not related to the grant implementation

All applicants must have the financial and administrative systems to adequately account for the grant funds as detailed in the extensive attachments and referenced U.S. Government websites.



#### 5.4. TAXES

No taxes, fees, charges, tariffs, duties or levies will be paid under any Grants awarded from this RFA.

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## 6 SELECTION

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USAID Health Reform Support intends to award grants resulting from this solicitation to the responsible Grantees whose Application conforms to the solicitation and represents best value solutions after selection in accordance with the criteria/factors listed here.

The review criteria below are presented by major category so that Applicants will know which areas require emphasis in the preparation of Applications.

Application Selection Criteria	Points
Statement of Need	5
Project Goal, Objectives, and Geographic Focus	15
Expected Results and Technical Strategies	15
Project Activities/Implementation Plan	20
Coordination and Collaboration	10
Management Plan	5
Organizational Capacity	15
Budget, Budget Notes and Cost Reasonableness	15
<b>Total points</b>	<b>100</b>

### ***Technical Proposal***

USAID Health Reform Support Project will evaluate each technical approach quantitatively based upon the review criteria set forth above. A technical proposal can be categorized as unacceptable when it is incomplete, does not respond to the scope, does not comply with the format requirements or is submitted after the deadline.

### ***Budget***

The proposed budget will be analyzed as part of the application selection process. Applicants should note that Budgets must be sufficiently detailed to demonstrate reasonableness and completeness, and that applications including budget information determined to be unreasonable, incomplete, or based on a methodology that is not adequately supported may be judged unacceptable.

- 1) **Reasonableness.** USAID Health Reform Support Project will make a determination of reasonableness based on USAID HRS's experience for similar items or services, what is available in the marketplace, and/or other competitive offers.
- 2) **Completeness.** A detailed line item budget, budget notes, assumptions, and schedules that clearly explain how the estimated amounts were derived must adequately support the applicant's budget. USAID Health Reform Support may request additional supporting information to the extent necessary to determine whether the costs are fair and reasonable.

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## 7 REFERENCES, TERMS & CONDITIONS

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### 7.1. References (choose from the list below as applicable)

- The U.S. Government regulations that govern this grant as found at the following websites:  
<http://www.usaid.gov/sites/default/files/documents/1868/303.pdf>  
<https://www.acquisition.gov/far/html/FARTOCP31.html>  
[https://www.ecfr.gov/cgi-bin/text-idx?tpl=/ecfrbrowse/Title02/2cfr200\\_main\\_02.tpl](https://www.ecfr.gov/cgi-bin/text-idx?tpl=/ecfrbrowse/Title02/2cfr200_main_02.tpl)
- Required provisions for Simplified and Standard Grants to Non-U.S. Non-Governmental Organizations: <http://www.usaid.gov/ads/policy/300/303mab>.
- Required Standard Provisions for U.S. Non-governmental organizations: <http://www.usaid.gov/ads/policy/300/303maa>
- Required Provisions for a Fixed Amount Awards to Non-Governmental Organizations:  
(1) Mandatory Provisions from: <https://www.usaid.gov/ads/policy/300/303mat>.  
(2) Include ONLY the applicable “Required, As Applicable” provisions from: <https://www.usaid.gov/ads/policy/300/303mat>.

### 7.2. Terms and Conditions

- Issuing this RFA is not a guarantee that a grant will be awarded.
- Deloitte reserves the right to issue a grant based on the initial selection of offers without discussion.
- Deloitte may choose to award a grant for part of the activities in the RFA.
- Deloitte may choose to award a grant to more than one recipient for specific parts of the activities in the RFA.
- Deloitte may request from short-listed grant applicants a second or third round of either oral presentations or written responses to a more specific and detailed scope of work that is based on a general scope of work in the original RFA.
- Deloitte has the right to rescind an RFA, or rescind an award prior to the signing of a contract due to any unforeseen changes in the direction of Deloitte's client (the U.S. Government), be it funding or programmatic.
- Deloitte reserves the right to waive any deviations by organizations from the requirements of this solicitation that in Deloitte's opinion are considered not to be material defects requiring rejection or disqualification, or where such a waiver will promote increased competition.

#### **Grant Agreement**

A grant agreement will include the approved project description, approved budget, payment terms, reporting requirements and relevant provisions. Once executed, it is a legally binding agreement between Deloitte (on behalf of the USAID Health Reform Support) and the recipient organization. Once the grant agreement is signed, it cannot be modified without prior written approval from Deloitte (on behalf of the USAID Health Reform Support).

#### **Grant Disbursement and Financial Management**

Recipients of grant funds will need to open a separate bank account before any funds are transferred from Deloitte. The grants will be disbursed in local currency and transferred only through bank transactions.

#### **Reporting**

The grant agreement will detail the reporting requirements. Recipients must be willing to adhere to the reporting schedule and requirements for both programming activities and financial monitoring.

#### **Monitoring**

USAID Health Reform Support staff will monitor programmatic performance. Deloitte and USAID reserve the right to review finances, expenditures and any relevant documents at any time during the project period and for three years after the completion of the project and closeout. All original receipts must be kept for three years after the formal closeout has been completed.

#### ***Late Submissions, Modifications and Withdrawals of Applications***

At the discretion of Deloitte, any application received after the exact date and time specified for the receipt may not be considered unless it is received before award is made and it was determined by Deloitte that the late receipt was due solely to mishandling by Deloitte after receipt at its offices.

Applications may be withdrawn by written notice via email received at any time before award. Applications may be withdrawn in person by a vendor or his authorized representative, if the representative's identity is made known and the representative signs a receipt for the application before award.

#### ***False Statements in Offer***

Vendors must provide full, accurate and complete information as required by this solicitation and its attachments.

#### ***Certification of Independent Price Determination***

(a) The offeror certifies that--

(1) The prices in this offer have been arrived at independently, without, for the purpose of restricting competition, any consultation, communication, or agreement with any other offeror, including but not limited to subsidiaries or other entities in which offeror has any ownership or other interests, or any competitor relating to (i) those prices, (ii) the intention to submit an offer, or (iii) the methods or factors used to calculate the prices offered;

(2) The prices in this offer have not been and will not be knowingly disclosed by the offeror, directly or indirectly, to any other offeror, including but not limited to subsidiaries or other entities in which offeror has any ownership or other interests, or any competitor before bid opening (in the case of a sealed bid solicitation) or contract award (in the case of a negotiated or competitive solicitation) unless otherwise required by law; and

(3) No attempt has been made or will be made by the offeror to induce any other concern or individual to submit or not to submit an offer for the purpose of restricting competition or influencing the competitive environment.

(b) Each signature on the offer is considered to be a certification by the signatory that the signatory--

(1) Is the person in the offeror's organization responsible for determining the prices being offered in this bid or application, and that the signatory has not participated and will not participate in any action contrary to subparagraphs (a)(1) through (a)(3) above; or

(2) (i) Has been authorized, in writing, to act as agent for the principals of the offeror in certifying that those principals have not participated, and will not participate in any action contrary to subparagraphs (a)(1) through (a)(3) above; (ii) As an authorized agent, does certify that the principals of the offeror have not participated, and will not participate, in any action contrary to subparagraphs (a)(1) through (a)(3) above; and (iii) As an agent, has not personally participated, and will not participate, in any action contrary to subparagraphs (a)(1) through (a)(3) above.

(c) Offeror understands and agrees that --

(1) violation of this certification will result in immediate disqualification from this solicitation without recourse and may result in disqualification from future solicitations; and

(2) discovery of any violation after award to the offeror will result in the termination of the award for default.

## **Standard Provisions**

Deloitte is required to respect the provisions of the United States Foreign Assistance Act and other United States laws and regulations. The [NAME OF PROJECT] Grant Program will be administered according to Deloitte's policies and procedures as well as USAID's regulations for Non-U.S. Governmental Recipients or USAID's regulations for U.S. Non-Governmental Recipients. These include:

### **1. Implementing Partner Notices (IPN) registration**

Applicant acknowledges the requirement to register with the IPN portal if awarded a grant resulting from this solicitation and receive universal bilateral amendments to this award and general notices via the IPN portal. The IPN Portal is located at <https://sites.google.com/site/usaaidipnforassistance/>. Detailed steps are given under the article M9 of the Mandatory Standard Provisions for Fixed Amount Awards to Non-Governmental Organizations from ADS 303mat, which is annexed to this RFA.

### **2. Indirect rates**

Indirect rates such as fringe, overhead, and general and administrative (G&A) that have not been approved by a U.S. Government agency in a NICRA (Negotiated Indirect Cost Rate Agreement) may not be charged to this award. All costs charged to the project shall be directly related to the project's implementation.

### **3. Activities that will not be considered for funding**

In keeping with the conditions above, programs that fall within the following categories or indicate they might participate in any one of the following shall be automatically disqualified:

- Activities related to the promotion of specific political parties.
- Construction.
- Distribution of emergency/humanitarian assistance or funds.
- Religious events or activities that promote a particular faith.
- For-profit business activities that benefit a small select group, rather than providing increased opportunities to the larger community.
- Unrelated operational expenses.

### **4. Prohibited Goods and Services**

Under no circumstances shall the Recipient procure any of the following under this award, as these items are excluded by the Foreign Assistance Act and other legislation which govern USAID funding. Programs which are found to transact in any of these shall be disqualified:

- Military equipment;
- Surveillance equipment;
- Commodities and services for support of police or other law enforcement activities;
- Abortion equipment and services;
- Luxury goods and gambling equipment; and
- Weather modification equipment.

### **5. Restricted Goods**

The following costs are restricted by USAID and require prior approval from Deloitte and USAID:

- Agricultural commodities;
- Motor vehicles;
- Pharmaceuticals;
- Pesticides;
- Fertilizer;
- Used equipment; and

- U.S. Government-owned excess property.

#### **6. Certifications for Non-US Non-Governmental Recipients**

The following Standard Grant & Subcontractor Certifications are required by Deloitte and USAI

- Assurance of Compliance with Laws and Regulations Governing nondiscrimination in Federally Assisted Programs (This assurance applies to Non-U.S. Governmental Organizations, if any part of the program will be undertaken in the U.S.);
- Certification Regarding Lobbying (22 CFR 227);
- Prohibition on Assistance to Drug Traffickers for Covered Countries and Individuals (ADS 206, Prohibition of Assistance to Drug Traffickers);
- Certification Regarding Terrorist Financing;
- Certification of Recipient;
- Compliance with Anticorruption Laws.
- A completed copy of Representation by Organization Regarding a Delinquent Tax Liability or a Felony Criminal Conviction; and
- Certification Regarding Trafficking in Persons

